

SUPREME COURT

DIVISION OF STATE COURT ADMINISTRATION

LORETTA H. RUSH, CHIEF JUSTICE

LILIA G. JUDSON, EXECUTIVE DIRECTOR

DAVID J. REMONDINI, CHIEF DEPUTY EXECUTIVE DIRECTOR



OF INDIANA

30 SOUTH MERIDIAN STREET, SUITE 500
INDIANAPOLIS, IN 46204-3568
317.232.2542
317.233.6586 FAX
COURTS.IN.GOV

July 30, 2015

Mr. Paul Testa
308 North Prairie Street, Apt. 200
Champaign, IL 61820

Re: Compiled Information Request for
Criminal and Traffic Cases

Dear Mr. Testa

Your request to obtain compiled information of non-confidential court records has been approved by the Division of State Court Administration. Under Indiana Administrative Rule 9(F)(2)(b) approval of a request for compiled information can be summarily granted by the Division of State Court Administration, without execution of a User Agreement, to individuals or entities having a substantial interest or bona fide research activity for journalistic purposes provided the requested data will not be resold or used for commercial purposes and does not contain confidential data, bulk data or financial data.

You are approved to receive compiled information from all courts in the State of Indiana whose records exist on MyCase. Your extract consists of criminal and traffic as outlined in your request. A copy of your approved Summary Request is enclosed.

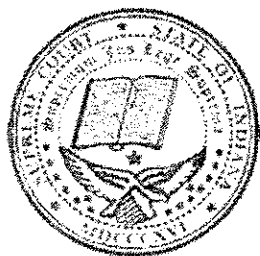
The Division will provide a report of such records from the records on MyCase that are contained in the Odyssey Case Management System to you, without charge, subject to the condition that the data may not be resold or used for a commercial purpose.

Please contact the Court Technology at 317.234.2720 to proceed with receipt of your data. A copy of the Odyssey Data Order Form is enclosed. If you have any questions, please contact me at richard.payne@courts.IN.gov or (317) 234-5398.

Sincerely,

A handwritten signature in black ink, appearing to read "Richard T. Payne", written over a circular stamp or seal.

Richard T. Payne
Staff Attorney
Trial Court Management



INDIANA SUPREME COURT
DIVISION OF STATE COURT ADMINISTRATION
30 SOUTH MERIDIAN STREET, SUITE 500
INDIANAPOLIS, IN 46204
317.232.2542

REQUEST FOR SUMMARY RELEASE OF
COMPILED INFORMATION
(NOT EXCLUDED FROM PUBLIC ACCESS)

To the Executive Director of State Court Administration:

Requestor submits this request under Administrative Rule 9(F)(2)(b) for summary release of bulk data/compiled information not excluded from public access by Administrative Rule 9(G).

I. Identity of Requestor	Paul Testa
Address	308 N Prairie St, Apt 200 Champaign, IL 61820
Contact and Title	Paul Testa, Graduate Student, University of Illinois at Urbana-Champaign
Telephone:	607-592-3162
E-Mail:	ptesta2@illinois.edu

II. What substantial interest or bona fide research activity does Requestor have for scholarly, journalistic, political, governmental, research, evaluation or statistical purposes with regard to the requested data? (Explain in detail)

I am a graduate student in political science at the University of Illinois at Urbana-Champaign. This request is made for a scholarly and scientific purpose and not for commercial use. I plan to use these data as part of my dissertation examining the effects of contact with the criminal justice system on political attitudes and behavior. Specifically, I plan to pair these data with voting records, and then use recent elections as a discontinuity to assess the effects of contact with the criminal justice system (CJS) on voter turnout. While contact with the CJS is not random, whether that contact occurred in the days before or after an election is plausibly random. By comparing turnout in groups charged with an offense just before an election to groups citizens charged just after an election, I hope to assess the causal effect of contact with the CJS on voter turnout. I plan to explore how the effects of contact vary by different types of contact (e.g. traffic vs non-traffic), context (urban vs. rural) and people (e.g. young vs old).

III. List all known business entity names related to Requesting Party that will participate in the use and dissemination of the data provided:

Only the researcher, Paul Testa, will have access to the data.

IV. Identification of bulk data/compiled Information sought (specify and describe the records sought and the compiler or location):

I would like to request the following publicly available data from the mycase.in.gov database from 2004 to the present (if possible):

I am seeking detailed superior, circuit, town, and city court case processing data for all criminal and traffic cases, at the case level, filed between January 2004 and December 31, 2014 for all available Indiana counties. Specifically, I would like to request the following publicly available data from the mycase.in.gov database:

- Case information: case number, county, filing date,
 - Charge information: charges, citation number, statute, level, and offense date, charge disposition
 - Defendant information: Name (first, last, and middle), address, race, gender, date of birth
- Case history:
- Disposition: disposition type (guilty plea, guilty at trial, not guilty, no contest, dismissal etc.)
 - Sentence and fine: sentence date, sentence type (probation, jail, fine etc), if fined, amount of fine, sentence conditions (suspended license, voting ineligibility, etc.)

V. Identify the frequency with which bulk data and compiled information is being requested to be transferred to Requestor by each Court listed in Section III.

I am requesting a one time transwer

VI. Identification of Court(s) exercising jurisdiction over the records (list the courts):

All criminal cases in the mycase.in.gov database (state superior, circuit courts and town/city courts where criminal cases are heard)

VII. Purpose for Request: What is the purpose of the request and how is release of the requested data consistent with the purposes of Administrative Rule 9 (set forth reason)?

This request is made for academic research on the affects of contact with the criminal justice system on voter turnout. The data will not be used for any commercial purpose. All data used in the final analysis will be made anonymous and stripped of any personally identifying information.

VIII. Describe the resources available to prepare the information.

I expect to conduct the statistical analysis using R, an open source statistical software. I have taken a number of graduate courses in research methods and statistical analysis.

IX. Describe how fulfilling the request is an appropriate use of public resources.

The public has a considerable interest in understanding the effects of contact with the criminal justice system on political behavior. Knowing if and for whom these experiences matter can inform policies relating to policing and political participation.

X. Attach a copy of each Agreement Requestor has entered into with each County or Court listed in Section III to provide public access services or to obtain bulk distribution of data or compiled information.

No previous agreements have been made

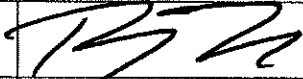
XI. Attach a sample copy of all Requesting Party's company policies/user agreement provided to the Requesting Party's subscribers, customers, clients, or other party that govern the use of the data.


The data will be used for academic research. Any published results will present aggregate analysis. Any data provided for scientific replication of the analysis will be completely anonymized and will contain no personally identifying characteristics of an individual. The raw data provided by the State of Indiana will be password protected and deleted once it has been paired with voting records. Only the researcher, Paul Testa, and his graduate advisor, Jeffery Mondak, PhD, will have access to the data. All analysis will be done using the statistical software, R.

XII. Requestor is (is not) willing to pay an amount determined to be the fair market value of the information. If not, why?

I am a graduate student with a limited budget conducting academic research in the public interest. If possible, I would like to request a waiver (or reduction of fees.) I hope that since the data I am requesting are publicly available on mycase.in.gov, this should not be a problem. If not, I am willing to pay for the time and resources needed to produce this data, but if possible could you provide an estimate of the cost so that I can make sure I am able to pay.

By signing this request, I represent that I am authorized to do so on behalf of Requestor and affirm under the penalties for perjury that the requested data will not be resold or used for commercial purposes.

Signature	
Printed Name	Paul Testa
Title	PhD Candidate University of Illinois at Urbana Champaign
Date	7/24/15

Action by ^{Wagon} Executive Director of State Court Administration	Application approved <input checked="checked" type="checkbox"/>
Signature 	Application denied <input type="checkbox"/>
^{INTERIM} Lilia G. Judson, Executive Director	Date 7/29/15

RECEIVED

JUL 24 2015

DIVISION OF
STATE COURT ADMINISTRATION



Indiana Supreme Court Division of State Court Administration

NOTIFICATION OF RECEIPT OF REQUEST FOR BULK DISTRIBUTION OF DATA OR COMPILED INFORMATION UNDER ADMINISTRATIVE RULE 9

TO: Court Technology
FROM: Richard T. Payne, Staff Attorney, Trial Court Management
DATE: July 28, 2015

STAD and/or the Indiana Supreme Court has received a Request for Bulk Data or Compiled Information from Paul Testa. The requested distribution involves data or information within the Odyssey Data Repository as well as non-Odyssey courts as follows:

A copy of their Request is attached.

Please advise, by email:

1. if there are any issues or problems in the granting of this request and
2. whether you estimate that provision of the data/compiled information, if granted, will require more than one (1) hour of time from Court Technology staff.